SBS Wealth Investment Funds
Investment Profile Change Form

Use this form ("Switching Notice") to update your investment profile. Your investment profile is your Strategy or self-selected allocation to each Fund(s) at the point of application for units unless we are instructed otherwise.

After we accept this form, we will rebalance your account to reflect your updated investment profile. All deposits and withdrawals will be added to or deducted from your account according to your updated investment profile.

Please return the completed form and requested supporting documents to us at: **contact@sbswealth.co.nz**.
If you require assistance completing this form then please contact us on 0800 727 935.

Before you get started

- Before completing this form you must read the current Product Disclosure Statement ("PDS") for information about the investment options. You can find the latest SBS Wealth Investment Funds PDS at **www.sbswealth.co.nz.**

What happens after you submit the form?

- We check your form is complete;
- We determine if you are required to supply us identity documents, and contact you if necessary;
- We update your investment profile;
- We rebalance the existing account to your selected investment profile.
- We update any future deposits or withdrawals to reflect your updated investment profile.

Section 1: Account details

Account number FA

Account name(s) ______

If the contact details or PIR rate provided below differ from those currently on your account, we will update these for you. Switching between Funds is a taxable event. You can find information on how to determine your prescribed investor rate at **www.ird.govt.nz/roles/portfolio-investment-entities/find-my-prescribed-investor-rate**.

The highest PIR of the joint applicants will be the PIR for the account.

Investor 1 details

Title ______ Given name(s) ______ Surname ______

Current postal address ______

______ Postcode

Contact phone ( ) ______ Email address ______

IRD Number PIR rate ◯ 10.5% ◯ 17.5% ◯ 28%

Investor 2 details *(Joint accounts only)*

Title ______ Given name(s) ______ Surname ______

Current postal address ______

______ Postcode

Contact phone ( ) ______ Email address ______

IRD Number PIR rate ◯ 10.5% ◯ 17.5% ◯ 28%

Section 2: Changing your Investment Profile

I/We would like to switch my/our existing SBS Wealth Investment Fund account holdings and future deposits to the new investment profile as stated below. I/We understand my/our account will be automatically rebalanced to the investment profile annually.

1. Please select which Strategy you would like to invest in or;
2. Please select which Fund or combinations of Funds you would like to invest in.
3. If the stated percentages do not add to 100% we will contact you before we accept this form.
4. Following our acceptance of this form, we will rebalance your account to reflect your updated investment profile. All deposits and withdrawals will be added to or deducted from your account based on your updated investment profile.
5. **We recommend you seek advice from a financial adviser to help you make an investment decision if you are unsure what investment profile to select.**

Choose a Strategy

Strategy
◯ Conservative
◯ Balanced
◯ Growth
◯ High Growth

OR

Self-select your mix of Funds

Funds	Investment Profile*
Lifestages World Equity Portfolio	%
Lifestages Australasian Equity Portfolio	%
Lifestages World Bond Portfolio	%
Lifestages New Zealand Bond Portfolio	%
***Must add to 100%**	0 %

Section 3: Identification details

Before processing your request we may electronically verify your identity and address using your New Zealand Passport or New Zealand Driver Licence. Alternatively, we may request verified/certified copies of your identification documents and proof of address. We will contact you in that event.

Please indicate below which method you would prefer if required.

◯ Option 1 – I would like you to electronically verify my identity.

◯ Option 2 – I would like to provide current certified or verified copies of my identity documents.

You can find more information about the combination of certified copies you can provide at **www.sbswealth.co.nz/document-certification**.

Section 4: Investor declaration

Individual/Joint LIF Funds and Strategies

I/We have received, read, and understood the current Product Disclosure Statement for SBS Wealth Investment Funds (**PDS**). I/We agree to be bound by the terms and conditions set out in the PDS (including this Application Form), the relevant trust deeds, and any register entry held on **disclose-register.companiesoffice.govt.nz** for the SBS Wealth Investment Funds.

I/We confirm that:

1. I/we meet all the eligibility criteria for investing in the Fund(s) or Strategy.
2. My/our investment in any Fund(s) (including via any Strategy) is not a bank deposit with, or liability of, SBS Bank. It is subject to investment risk including possible delays in repayment. I/We may get back less than the total contributed. Neither the Supervisor, SBS Wealth, SBS Bank, nor any person guarantees (either fully or in part) the performance or returns of any Fund or Strategy, or the repayment of amounts contributed.
3. I/We understand that the value of my/our investment in any Fund (including via any Strategy) may rise or fall depending on market (and other) conditions, and I/we may receive back less than had been invested.
4. Choosing a Fund (or Funds) or a Strategy is my/our responsibility. Where I/we have obtained advice (from SBS Wealth or elsewhere) I/we acknowledge that it is my/our choice whether or not to rely on that advice.
5. I/We understand the way fees will be deducted from my/our investment in a Fund (or Funds) or Strategy.
6. I/We understand that SBS Wealth will automatically rebalance my/our allocations between Funds back to my/our selected investment profile on an annual basis.
7. By providing my/our email address, I/we give express consent to any member of the SBS group of companies (including SBS Wealth and SBS Bank) contacting me/us electronically from time to time with information about its products, services, and promotions.
8. I/We consent to receiving information and communications in respect of my investment in the Funds or a Strategy (including Annual Reports, member statements, confirmation information, tax statements, investor notices and any other documents) in any form, whether electronic or otherwise, including via the SBS Wealth online portal, by email, or by any other means;
9. I/we authorise and consent to SBS Wealth accessing my/our details on any electronic or physical database (including, without limitation, the New Zealand Transport Agency's database of driver licences and the Department of Internal Affairs database of passports) for the purposes of verifying any identity information I/we have provided as required by this form.
10. **Joint investors only:** In addition to the conditions previously stated, we (the joint investors) also:
    - agree to hold the units as joint tenants and all liability will be joint and several;
    - acknowledge that unless otherwise stated, all signatures from all joint account holders are always required for instructions on our investment;
    - agree that the person named first in the Register as one of the joint account holders will receive all notices and communications in relation to the investment from SBS Wealth and the Supervisor, and any notice given to that person shall be deemed to be notice to all joint account holders.

Account holder(s)

Account holder

Full Name

Signature

Date D D M M Y Y Y Y

Account holder *(if Joint)*

Full Name

Signature

Date D D M M Y Y Y Y

Important: Attorneys must provide a copy of the Power of Attorney, and a certificate of non revocation of Power of Attorney. Please contact us at **contact@sbswealth.co.nz** for further information regarding the certificate of non revocation.