

SBS Wealth KiwiSaver Scheme

Australian superannuation to KiwiSaver transfer application form

Use this form to help you apply for a **transfer of your Australian complying superannuation fund to your SBS Wealth KiwiSaver Scheme account.**


Before you get started

If you transfer your Australian superannuation, your entitlement under that fund will cease. Before you make a decision to transfer your super some of the points you may consider are:

- > **Fees – your transferring fund must give you information about any exit or withdrawal fees.**
If you are not aware of the fees that may apply, you should contact your fund for further information before completing this application form. The fees could include administration fees as well as exit or withdrawal fees. Differences in the fees funds charge can have a significant effect on what you will have to retire on. For example, a 1% increase in fees may significantly reduce your final benefit.
- > **Death and disability benefits** – your transferring fund may insure you against death, illness or an accident that leaves you unable to return to work. If you choose to leave your current fund, you may lose any insurance entitlements you have. The SBS Wealth KiwiSaver scheme does not provide insurance benefits, and you will therefore need to seek any insurance you need separately.
- > **Tax** – the tax treatment of Australian superannuation schemes and KiwiSaver schemes are different. The ways in which your savings are taxed, and the applicable rates, are different.

Follow the steps below.

Step 1 Check your eligibility

 You must have permanently emigrated to New Zealand.

Step 2 Apply to your Australian Superannuation fund

Complete the attached application form and send it to your Australian complying superannuation fund provider together with any supporting evidence.

If you require assistance completing this form then please contact us **0800 727 935** or email us at **contact@sbswealth.co.nz**.

IMPORTANT CHECKLIST (SEND WITH FORM)

Before sending this form to your Australian complying superannuation fund provider:

(Please tick)

- ☐ You are fully aware of the requirements you must meet in order to qualify for this transfer;
- ☐ Have completed all sections of this form including the statutory declaration (section 6);
- ☐ Attach a certified copy of evidence of New Zealand address (for a full list of acceptable documents, please refer to section 5 of this form);
- ☐ Attach a certified copy of acceptable identity verification documents. This is usually by way of either: 1. a certified copy of a New Zealand passport; or 2. a certified copy of a New Zealand driver licence showing a photo of the applicant, together with a secondary form of identification. For a full list of acceptable documents, please refer to section 5 of this form;
- ☐ Attach the latest copy of your Australian complying superannuation fund statement.

Send form

Post the form and supporting evidence to the trustee of your Australian complying superannuation fund. You may need to visit your Australian provider's website to find their contact details.

Australian superannuation to KiwiSaver transfer

Dear Australian complying superannuation fund provider

Trans-Tasman retirement savings portability

Please find enclosed a transfer application form and New Zealand statutory declaration that SBS Wealth Limited ('SBS Wealth') has prepared to help our SBS Wealth KiwiSaver Scheme members apply to bring their Australian retirement savings to New Zealand. SBS Wealth is the issuer and manager of the SBS Wealth KiwiSaver Scheme and accepts withdrawals transferred from an Australian complying superannuation fund, provided all the requirements are met.

To implement the trans-Tasman retirement savings portability initiative, the Australian Government has passed the Superannuation Industry (Supervision) Amendment Regulation 2013 (No.3). This Regulation amends the Superannuation Industry (Supervision) Regulations 1994 (SIS Regulations) by inserting a new Part 12A into the SIS Regulations with effect from 1 July 2013.

Under Part 12A, Division 3 of the SIS Regulations, the trustee of a complying superannuation fund must, subject to limited exceptions, pay the whole of a member's withdrawal benefit to a KiwiSaver scheme within 30 days, when the trustee is satisfied that the matters listed in sub regulation 12(A) 10.3 of the SIS Regulations have been met.

The Australian Government has subsequently passed the Superannuation Laws Amendment (2014 Measures No. 1) Regulation 2014. This regulation amends regulation 12A.10 so that statutory declarations made under New Zealand law satisfy the provisions.

The enclosed form (incorporating the New Zealand statutory declaration) has been developed to provide all necessary information for a trustee to make a payment to a KiwiSaver scheme in accordance with Part 12A, Division 3 of the SIS Regulations, and the Superannuation Laws Amendment (2014 Measures No. 1) Regulation 2014.

Please review the attached transfer application form and supporting evidence for our respective member. We look forward to receiving your confirmation of payment of funds to our Scheme. If you require assistance, then please email us at contact@sbswealth.co.nz.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Morne Redgard', with a stylized flourish at the end.

Morne Redgard

SBS Wealth Limited,
Manager of the SBS Wealth KiwiSaver Scheme

Section 1: Member's details

Member DoB IRD number

Title Given name(s) Surname

Current postal address

Postcode

Home phone Work phone Mobile

Email address*

*Supply email address if you wish to receive all communications regarding the SBS Wealth KiwiSaver Scheme via email.

Section 2: Your Australian complying superannuation fund details

Australian fund name Australian fund membership number

Last known address in Australia

Postcode

Date of permanent emigration to New Zealand Date

Section 3: KiwiSaver scheme details

Contact details

KiwiSaver scheme name	SBS Wealth KiwiSaver Scheme
KiwiSaver scheme registration number	KSS10028
KiwiSaver provider name	SBS Wealth Limited
KiwiSaver provider postal address	PO Box 10445, Wellington 6143, New Zealand
KiwiSaver provider email address	contact@sbswealth.co.nz
KiwiSaver provider contact number	+64 3 211 0700

Payment details

Bank SWIFT	BKNZNZ22
Bank name and address	Bank of New Zealand 38 Wills Street, Wellington, New Zealand
Beneficiary name	TE Registry Nominees LTD ARF SBS Wealth KiwiSaver PO Box
Beneficiary address	409 Wellington 6140, New Zealand
Beneficiary account number	02 0500 0658976 20
Remittance instructions	Member's name and KiwiSaver member number

Section 4: Confirmation of acceptance

SBS Wealth Limited ('SBS Wealth'), will accept the withdrawal amount from your Australian complying superannuation fund.

Section 5: Identification details

Your application must be submitted with certified copies of the address options and one of the identity sets below.

Please refer to the Document Certification table for a list on who may certify original documents. **Please note a SBS staff member cannot certify documents.**

Approved Identification Documents

Please provide one of the following sets of Name and Date of Birth Identification Documents:

- ☐ Set One – one primary identification document from Set One; **OR**
- ☐ Set Two – one primary and one secondary identification document from Set Two; **OR**
- ☐ Set Three – one primary and one secondary identification document from Set Three.

	Identity Verification – Primary (Must be signed [where relevant] and current)	Identity Verification – Secondary (Must be signed [where relevant] and current)
Set One	<input type="checkbox"/> New Zealand passport <input type="checkbox"/> Overseas passport (with photo & signed) <input type="checkbox"/> New Zealand firearms licence	– None required
Set Two	<input type="checkbox"/> New Zealand drivers licence	<input type="checkbox"/> SuperGold Card (signed) <input type="checkbox"/> NZ registered Bank/Credit card (Name embossed & signed) <input type="checkbox"/> NZ registered Bank statement issued in the last 12 months <input type="checkbox"/> Document issued by NZ Government (e.g. marriage licence) <input type="checkbox"/> Statement issued by NZ Government agency (e.g. WINZ, IRD) issued in the last 12 months
Set Three	<input type="checkbox"/> New Zealand full birth certificate <input type="checkbox"/> Overseas full birth certificate <input type="checkbox"/> Certificate of New Zealand citizenship <input type="checkbox"/> Overseas citizenship certificate	<input type="checkbox"/> New Zealand drivers licence <input type="checkbox"/> Overseas drivers licence (with photo) <input type="checkbox"/> 18+ Card/Kiwi Access card <input type="checkbox"/> SuperGold Card (with photo & signed)

Approved address verification document

Please provide one of the following Address Identification Documents:

Must be addressed to you personally and, include your current physical address, and be dated within 12 months of presentation.

Electronic copies are acceptable if they show the same physical address that they otherwise would have been posted to.

- | | |
|--|---|
| <input type="checkbox"/> Bank statement from NZ registered bank issued in last 12 months | <input type="checkbox"/> A signed Tenancy Agreement |
| <input type="checkbox"/> Utility Bill (Power, Phone, Internet, Gas, Water) | <input type="checkbox"/> Current Vehicle registration |
| <input type="checkbox"/> Local authority rates bill | <input type="checkbox"/> Insurance Policy (dwelling) |
| <input type="checkbox"/> Document issued by NZ Government authority | <input type="checkbox"/> Electronic White Pages |
| <input type="checkbox"/> Non-bank Financial Institution statement or correspondence (e.g. Building Societies and AML/CFT reporting entities) | <input type="checkbox"/> Sales and Purchase Agreement |
| <input type="checkbox"/> Letter from a New Zealand education institution | <input type="checkbox"/> Rest/Retirement Home statement or correspondence |

Document certification

Certified copies of original documents must be presented. These must be certified by a Trusted Referee.

A Trusted Referee must be over 16 years of age and can be any of the following:

- > New Zealand Lawyer
- > Notary Public
- > Justice of the Peace
- > New Zealand Honorary Consul
- > New Zealand Chartered Accountant
- > A person who has the legal authority to take statutory declarations or the equivalent in New Zealand

The Trusted Referee must not be:

- > Related to the customer; for example, a trusted referee cannot be their parent, child, brother, sister, aunt, uncle or cousin
- > The spouse or partner of the customer
- > A person who lives at the same address as the customer
- > A Person involved in the transaction or business requiring the certification

The Trusted Referee must sight the original document and provide a written statement to the effect that the copy provided is a true and correct copy of the original which they have sighted, and where a photograph is included, represents your identity. Certification must include the name, occupation, signature of the Trusted Referee and the date of certification. Where possible the document should be stamped or sealed noting the authority of that person (i.e. Justice of the Peace stamp). The certification must be provided to us within 3 months of its dating.

Example of certified driver licence



Must not be expired

Required Certification statement for photographic document

I certify this to be a true and correct copy of the original, which I have sighted, and the photo represents a true likeness of the individual.

Name: Jane Doe

Occupation: Justice of the Peace

Signature: J Doe

Date: 20 03 20XX

Must be dated within the previous 3 months



Example of certified address document



Must be dated within the previous 12 months

Required Certification statement for non-photographic documents

I certify this to be a true and correct copy of the original document, which I have sighted.

Name: Jane Doe

Occupation: Justice of the Peace

Signature: J Doe

Date: 20 03 20XX

Must be dated within the previous 3 months



Section 6: Statutory declaration

Dear Australian complying superannuation fund provider

The Superannuation Laws Amendment (2014 Measures No. 1) Regulation 2014 was passed on 21 August 2014, allowing Australian superannuation funds to accept a New Zealand statutory declaration as evidence that an individual has permanently emigrated to New Zealand. SBS Wealth Limited has prepared the following New Zealand statutory declaration to help our KiwiSaver members apply to bring their Australian retirement savings to New Zealand.

I (full name)

Of (address)

Occupation

solemnly and sincerely declare and agree that:

1. I am a member of the SBS Wealth KiwiSaver Scheme.
2. I emigrated permanently to New Zealand as noted in section 2 of this form.
3. My current place of residence in New Zealand is the address noted in section 1 of this form.
4. I request and consent to a full withdrawal from my Australian complying superannuation fund detailed in section 2 of this form, to be paid to my SBS Wealth KiwiSaver Scheme account.
5. I discharge the trustee of my Australian complying superannuation fund of all further liability in respect of the benefits paid and transferred to my SBS Wealth KiwiSaver Scheme account.
6. I understand that the withdrawal amount from my Australian complying superannuation fund will be paid in Australian dollars and that the exchange rate between Australian and New Zealand dollars at the time my Australian savings are converted will affect the amount that is credited to my SBS Wealth KiwiSaver Scheme account.
7. I am aware I can ask my Australian complying superannuation fund for information about any fees or charges that may apply, or any other information about the effect of this transfer.

And I make this solemn declaration conscientiously believing the same to be true and by virtue of the Oaths and Declarations Act 1957. **Note:** Do not complete the following section until you are with the person witnessing your declaration.

Your signature

Declared at *(Place, for example town or city)* Date

Before me *(Name of official witness)*

Signature of official witness

Stamp

Signature of person taking the declaration

Staff cannot take statutory declarations. A solicitor, Justice of the Peace or Registrar of a New Zealand Court can take this statutory declaration for you. What you sign must be true. You can be prosecuted if you make a false declaration.